

11-07-05

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/652,493

Filing Date

October 10, 2005

First Named Inventor

Mina J. Bissell

Art Unit

1642

Examiner Name

Misook Yu

Attorney Docket Number

IB-1398

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input checked="" type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Petition to Withdraw Holding of Abandonment
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	37 CFR 1.81; Exhibits A-D; Issue Fee
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Transmittal (duplicate) and Return Postcard
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	
	Please Charge any credit/deficiencies to LBNL Deposit Acct#120690 Express Mail EV635996225US	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Lawrence Berkeley National Laboratory; Customer 08076		
Signature	<i>Michelle S. Chew</i>		
Printed name	Michelle S. Chew		
Date	11/3/2005	Reg. No.	50,456

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	<i>Hadiyah Muhammad</i>		
Typed or printed name	Hadiyah Muhammad	Date	11/03/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Practitioner's Docket No. IB-1398

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Mina J. Bissell, John L. Muschler

Application No.: 09/652,493

Group No.: 1642

Filed: 08/31/2000

Examiner: Misook Yu

For: Design of Novel Assays Based on the Newly Found Role of Dystroglycan and a-Dystroglycan Proteolysis in Tumor Cell Growth

Confirmation No. 3653

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION TO WITHDRAW HOLDING OF  
ABANDONMENT (37 CFR §1.181)**

Dear Sir:

This petition to withdraw holding of abandonment is made under 37 CFR § 1.181, because Applicant contends that the application is not in fact abandoned. This petition is timely filed within two months in response to the Notice of Abandonment mailed 10/11/2005.

Applicants hereby petition in accordance with § 1.181(a), and provide evidence under 37 CFR § 1.8. No petition fee is believed due. Withdrawal of the holding of the application as abandoned is hereby requested.

Applicants remarks begin on page 2.

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EXPRESS MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date November 3, 2005 in an Express Mail envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. EV 635996225 US.

Date: 11/03/2005

By: Hadiyah Muhammad  
Hadiyah Muhammad

## **REMARKS**

In the communication from the PTO mailed October 11, 2005, it was noted that this application was abandoned for failure to pay the issue fee within the 3 month period from the date of the notice of allowance required by 37 C.F.R. § 1.316. Applicant hereby petitions for withdrawal of the holding of abandonment under 37 CFR § 1.181, because Applicant contends that the application was not in fact abandoned.

Applicant attaches Exhibit A, a copy of the Auto-Reply Facsimile Transmission received from the Office on 5/26/2005, showing the Issue Fee Transmittal for the present case was received by the Office. The received page clearly shows the signature of Applicant's attorney authorizing payment of the total fees due. The received page also clearly shows that the faxed issue fee transmittal was received on 5/26/2005, before the date due of 06/03/2005. Applicants also attach Exhibit B, a copy of the Issue Fee Transmittal that was faxed originally on 5/26/2005 to the Office, as certified by the assistant for Applicant's attorney, Hadiyah Muhammad, as facsimile transmitted to the Office on 5/26/2005. Lastly, Applicant attaches Exhibit C, which is a statement made by Hadiyah Muhammad that the paper was timely filed by facsimile transmission, and Exhibit D, a copy of the sending unit's report confirming transmission was successfully received, also submitted in support of Exhibit C.

Therefore, based on the evidence provided, Applicant asserts that the Issue Fee was timely paid and received by the Office before the Date Due of 06/03/2005, and the application was not abandoned. Applicant believes the evidence provided is sufficient under 37 CFR § 1.8 and request the petition be accepted.

## CONCLUSION

Applicant request the withdrawal of the holding of the application in abandonment. The Commissioner is hereby authorized to charge the amount of \$700.00 for the issue fee to Deposit Account No. 120690, in accordance with the enclosed Issue Fee Transmittal (Exhibit B), which is attached in duplicate. No additional fee is believed due, but the Commissioner is authorized to charge any necessary and additional fees that may be due to Deposit Account No. 120690.

For the reasons set forth above, Applicants respectfully request that the petition to withdraw holding of abandonment be accepted based on the evidence provided that a reply and payment was timely filed.

Should there be any questions in regards to the petition, Applicants encourage the Examiner to call the undersigned at (510) 495-2456.

Respectfully submitted,

Date: 11/3/2005



Michelle S. Chew  
Reg No. 50,456  
Lawrence Berkeley National Laboratory  
1 Cyclotron Road, Patent Dept M/S 90B104  
Berkeley, CA 94720  
USA  
510-495-2456  
Customer No. 08076

# Auto-Reply Facsimile Transmission



Fax Sender at 510.486.7896

10/13/76

5/26/2005 4:49:55 PM [Eastern Daylight Time]

1 (including cover page)

**BEST AVAILABLE COPY**

=====

05/26/2005 13:55 510-AEBE-HRSGE LBL PATENT DEPARTMENT PAGE 01/01

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
(703) 746-4000

or Fax

**INSTRUCTIONS:** The form should be used for submitting an ISSUE FEE and PUBLICATION FEE (if required). Return - If you are depositing your application, all patent correspondence relating to the Patent Application must be submitted to the Commissioner or his/her designee. All correspondence received by the Commissioner will be mailed to the current correspondence address as indicated on the front cover of the application. It is the responsibility of the applicant to keep the Commissioner advised of any change of address.

CHANGING CORRESPONDENCE ADDRESS (Form 1-2A, Rev. 1-95) (See back of form)

Note: A certificate of mailing can only be used if the document contains the following information: This document contains the full text of the invention as claimed, including all claims, drawings, and other material which may be necessary for the understanding of the invention. Each document page, rate it as assignment or partial assignment, must be in two copies of the original or transmission.

Patent Counsel

Lawrence Berkeley National Laboratory  
One Cyclotron Road MS 9D-121  
Berkeley, CA 94720

I hereby certify that this Form (Transmittal) is being deposited with the United States Patent Service with sufficient postage for first class mail, as a certificate to the Mail Stop for delivery, receipt, or being distributed pursuant to the USPTO (703) 746-4000, on the date indicated below.

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S 12 6 05

(Signature)

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY CHECKED NO.	CONTINUATION NO.
09612495	07/01/2000	Maria J. Buehl	JD-398	3653

TITLE OF INVENTION: DESIGN OF NOVEL ASSAYS BASED ON THE NEWLY FOUND ROLE OF DYSTROGLYCAN AND ALPHA-DYSTROGLYCAN PROTEOLYSIS IN TUMOR CELL GROWTH

APPL TYPE	SMAILL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE DUE	DATE DUE
Supplementary	YES	\$700	00	\$700	06/02/2005
EXAMINER	ART UNIT	CLASSIFICATION			
YU, MISOOK	1842	615-00750			

I. Change of Correspondence Address or Invention Title (For "Two Address") (27 CFR 1.512).

☐ Change of correspondence address (or change of correspondence address from PTG-0101) attached.

☒ The Address Indication (or Two Address Indication from PTG-0101) or more recent attached. List of a Correspondence Number is provided.

J. Fee printing on the present form page, list:

(1) the number of my 3 registered patent attorneys or agents OR alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the number of my 3 registered patent attorneys or agents. If no name is listed, no notice will be printed.

Michelle S. Chew

K. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT CASE OR YES?

PLEASE NOTE: Unless so specified in a certified check, no signature data will appear on the label. If an assignee is identified below, the documents set forth that for consideration as set forth in 37 CFR 1.511. Completion of this form is NOT a statement for filing of assignments.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (CITY AND STATE OR COUNTRY)

The Regents of the University of California Oakland, CA US

Please attach the appropriate signature category or categories (will list be printed on the packet): ☐ Individual ☐ Corporation or other business entity ☒ Government

The following (check) are attached:

☒ Issue Fee

☐ Publication Fee (No small entity discount permitted)

☒ Advance Order - # of Copies 10

a. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. From PTG-0101 is attached.

☐ The Discharge is hereby furnished by check. See required fee(s), or modify my payment(s), to Deposit Address Number: 1-200-600-0000.

L. Changes in Entry Sheet (From status indicated above):

☐ Applications shown SMALL ENTITY status. See 37 CFR 1.512.

☐ An Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.512(a)(4).

The Director of the USPTO is requested to apply the issue fee and publication fee (if any) or to re-apply my previously paid issue fee to the examination identified above. NOTE: The issue fee and publication fee (if required) will not be accepted from anyone other than the designated registered attorney or agent of the assignee or order party to transmit as shown by the records of the United States Patent and Trademark Office.

Authorized Signature: Michelle S. Chew

Date: May 26, 2005

Typed or printed name: Michelle S. Chew

Examination No. 58,456

This collection of information is required by 37 CFR 1.511. This information is required to assist in the review of the patent which is filed under the USPTO (a process) in compliance. Confidentiality is protected by 37 CFR 1.511. This information is restricted to the public domain, including information, patents, and other material which may be necessary for the understanding of the invention. Any comments on the subject of this form should be sent to the Director of the USPTO, Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR PAYMENTS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTG-01 (Rev. 1/94) Approved for use through 04/06/2007.

OMB 057-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PAGE 1(1) CVT AT 5/26/2005 4:49:55 PM (Eastern Daylight Time) | SVR\USPTO-EFXXF-00 | DNS:7464000 | CSID:510 486 7896 | DURATION (min/sec) 10/40

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISS FEE  
Commissioner Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000

EXHIBIT B

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

03/03/2005

Patent Counsel  
Lawrence Berkeley National Laboratory  
One Cyclotron Road MS 90-1121  
Berkeley, CA 94720

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Hadiyah Muhammad (Depositor's name)  
*Hadiyah Muhammad* (Signature)  
5/26/05 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/652,493	08/31/2000	Mina J. Bissell	IB-1398	3653

TITLE OF INVENTION: DESIGN OF NOVEL ASSAYS BASED ON THE NEWLY FOUND ROLE OF DYSTROGLYCAN AND ALPHA-DYSTROGLYCAN PROTEOLYSIS IN TUMOR CELL GROWTH

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$700	06/03/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
YU, MISOOK	1642	435-007230

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47: Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Michelle S. Chew

2. \_\_\_\_\_

3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

The Regents of the University of California Oakland, CA US

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☒ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 120690 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Michelle S. Chew*

Date

*May 26, 2005*

Typed or printed name

Michelle S. Chew

Registration No.

56,456

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.


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# EXHIBIT C

Statement of Hadiyah Muhammad, assistant for Applicant's attorney.

1. My name is Hadiyah Muhammad. I am the assistant for Michelle S. Chew, Applicant's attorney for U.S. Patent Application No.: 09/652,493, filed on 08/31/2000.
2. I sent the facsimile transmission of the issue fee transmittal (PART B-Issue Fee, PTOL-85) and certified that it was facsimile transmitted to the USPTO on May 26, 2005.
3. I reviewed the Transmission Verification Report, shown as Exhibit D, and based upon the Transmission Verification Report which stated that the "Result" was "OK", I believed that the USPTO had received the facsimile as sent. The Result "OK" indicates that the sent transmission was successfully received.
4. Furthermore, I reviewed the Auto-Reply Facsimile Transmission received a few minutes later by our fax machine. It showed a scanned copy of the received page, which matched the Issue Fee Transmittal I sent. Therefore, I believed that the Issue Fee Transmittal was in fact received by the USPTO.

Date: 11/03/2005

  
Signature of person making statement that  
the paper was timely transmitted

Hadiyah Muhammad  
Lawrence Berkeley National Laboratory  
1 Cyclotron Road, MS 90B-104  
Berkeley, CA 94720 USA

# EXHIBIT D

## TRANSMISSION VERIFICATION REPORT

TIME : 05/26/2005 13:53  
NAME : LBL PATENT DEPARTMENT  
FAX : 510-486-7896  
TEL : 510-486-7058  
SER. # : BROJ2J700610

DATE, TIME  
FAX NO./NAME  
DURATION  
PAGE(S)  
RESULT  
MODE

05/26 13:53  
917037464000  
00:00:40  
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Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 3 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

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03/03/2003

Patent Counsel  
Lawrence Berkeley National Laboratory  
One Cyclotron Road MS 90-1121  
Berkeley, CA 94720

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Hadiwah Muhammad (Depositor's name)  
*[Signature]* (Signature)  
5/26/05 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/652,493	08/31/2000	Mina J. Bissell	IB-1398	3653

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APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$700	06/03/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
YU, MISOOK	1642	435-007230

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Michelle S. Chew

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

The Regents of the University of California Oakland, CA US

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☒ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee

4b. Payment of Fee(s):

☐ A check in the amount of the Fee(s) is enclosed



## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISS FEE  
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P.O. Box 1450  
Alexandria, Virginia 22313-1450  
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7590

03/03/2005

Patent Counsel  
Lawrence Berkeley National Laboratory  
One Cyclotron Road MS 90-1121  
Berkeley, CA 94720

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Hadiyeh Muhammad	(Depositor's name)
<i>Hadiyeh Muhammad</i>	(Signature)
5/26/05	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/652,493	08/31/2000	Mina J. Bissell	IB-1398	3653

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EXAMINER	ART UNIT	CLASS-SUBCLASS			
YU, MISOOK	1642	435-007230			

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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Michelle S. Chew

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

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(B) RESIDENCE: (CITY and STATE OR COUNTRY)

The Regents of the University of California Oakland, CA US

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☒ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
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4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature *Michelle S. Chew*

Date *May 26, 2005*

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